

ETHICS AGREEMENT COMPLIANCE CERTIFICATION

Senate Confirmed Presidential Appointee

1. Appointee's Information	
a. Appointee's Name:	to be completed by OGE Peter Hegseth
b. Position Title:	to be completed by OGE Secretary
c. Agency:	to be completed by OGE Department of Defense
d. Date Ethics Agreement Signed:	to be completed by OGE January 10, 2025
e. Date Confirmed:	to be completed by OGE January 24, 2025
f. Due Date for Submission of Certification of Ethics Agreement Compliance to OGE:	to be completed by OGE May 1, 2025
2. Resignations	
I completed all of the resignations indicated in my ethics agreement before I assumed the duties of my current government position.	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A
3. Divestitures	
a. I completed all of the divestitures indicated in my ethics agreement within the time period specified. I understand that I may not reacquire these assets during my appointment without OGE's prior approval.	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A
b. I have filed a periodic transaction report, or periodic transaction reports (OGE Form 278-T) to disclose the completion of these agreed upon divestitures.	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A Filing Date(s) of OGE Form 278-T Report (s):
c. I completed the divestiture through a means not requiring a periodic transaction report (OGE Form 278-T).	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A

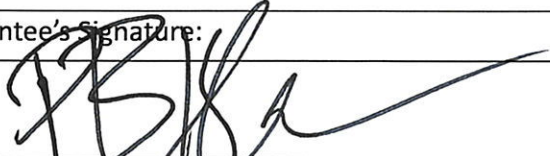
4. Managed Accounts	
If I have a managed account or use the services of an investment professional, I have notified the manager or professional of the limitations indicated in my ethics agreement. In addition, I am continuing to monitor purchases to ensure compliance with these limitations.	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A
5. Interim Recusals	
I have complied with my interim recusal obligations pending the divestitures required by my ethics agreement.	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A
6. Recusals (Note: These factual statements describe the appointee' current status. They are not intended to modify ethics agreement commitments or create new recusal obligations.)	
a. As required by 18 U.S.C. § 208, I will continue to recuse from particular matters in which I know I have a <u>personal</u> or <u>imputed</u> financial interest directly and predictably affected by the matter, unless I receive a waiver or qualify for a regulatory exemption.	<input checked="" type="radio"/> Yes <input type="radio"/> No
b. I am recusing from particular matters in which any former employer or client of mine for whom I provided services to in the past year, or in which my spouse's employer, or a current client is a party or represents a party, unless I have been authorized under 5 C.F.R. § 2635.502(d).	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A
c. I am recusing from particular matters in which any former employer or client I served in the two years prior to my appointment is a party or represents a party, unless I have received a waiver under Executive Order 13989.	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A

7. Waivers and Authorizations
 (Consult with your agency's DAEO if uncertain under what authority a waiver or authorization was granted.)

<p>a. I received a waiver pursuant to 18 U.S.C. § 208.</p> <p>If yes, indicate the date of the waiver and indicate the financial interest covered by the waiver.</p>	<p><input type="radio"/> Yes <input checked="" type="radio"/> No</p> <p>Date: Financial Interest:</p>
<p>b. I received a waiver pursuant to Executive Order 13989.</p> <p>If yes, indicate the date of the waiver and the subject of the waiver (i.e., applicable paragraph of the ethics pledge, parties, particular matters, specific issue areas, as applicable).</p>	<p><input type="radio"/> Yes <input checked="" type="radio"/> No</p> <p>Date: Subject:</p>
<p>c. I received an authorization pursuant to 5 C.F.R. § 2635.502(d).</p> <p>If yes, indicate date of authorization and identify the covered person(s) as to whom you have been authorized (e.g., former employer, former client, spouse's employer, spouse's current client, etc.).</p>	<p><input type="radio"/> Yes <input checked="" type="radio"/> No</p> <p>Date: Covered person(s):</p>
<p>d. I received a waiver pursuant to 5 C.F.R. § 2635.503(c).</p> <p>If yes, indicate the date of the waiver and identify the former employer or payer.</p>	<p><input type="radio"/> Yes <input checked="" type="radio"/> No</p> <p>Date: Former employer or payer:</p>

8. Payments, Accelerations, or Divestitures Required to be Completed Prior to Entering Government Service

<p><input checked="" type="checkbox"/></p> <p>Mark this box if not applicable</p>	<p>a. If I committed that I would forfeit a financial interest or payment, unless it was received or accelerated prior to my assumption of the duties of the government position:</p>	<p><input type="radio"/> I received it (or it was accelerated) <u>prior</u> to my assumption of the duties of the position.</p> <p><input type="radio"/> I received it (or it was accelerated) <u>after</u> my assumption of the duties of the position.</p> <p><input type="radio"/> I forfeited it.</p>
	<p>b. Financial interest or payment at issue:</p>	

9. Requirements for Regular Appointees	
I have completed my initial ethics briefing, pursuant to 5 C.F.R. § 2638.305. If you are a Special Government Employee (SGE) or career Foreign Service Officer (FSO), select N/A.	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A
I have signed the ethics pledge pursuant to Executive Order 13989. If you are a SGE or career FSO or previously signed the pledge, select N/A.	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A
10. Additional Ethics Agreement Requirements	
to be completed by OGE	to be completed by appointee I am complying with these requirements as described in the adjacent box. <input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A
11. Comments of Appointee	
12. Certification-	
Any intentionally false or misleading statement or response provided in this certification is a violation of law punishable by a fine or imprisonment, or both, under 18 U.S.C. § 1001.	
I certify that the information I have provided is complete and accurate.	
Appointee's Signature:	Date:
	January 28, 2025