

Report Type: Nominee Report

Year (Annual Report only):

Date of Appointment:

Date of Termination:

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Edgar, Troy Dean

Deputy Secretary, Department of Homeland Security

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Homeland Security and Governmental Affairs
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Edgar, Troy Dean [electronically signed on 01/14/2025 by Edgar, Troy Dean in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ O'Connor, Michael, Certifying Official [electronically signed on 02/15/2025 by O'Connor, Michael in Integrity.gov]

Other review conducted by

/s/ O'Connor, Michael, Ethics Official [electronically signed on 02/15/2025 by O'Connor, Michael in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 02/16/2025 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO	
1	IBM	Washington, District of Columbia	Corporation	Executive	6/2021	Present	
2	Global Conductor, Inc	See Endnote	Irvine, California	Corporation	Founder, Chairman	1/2021	12/2024
3	America First Policy Institute	Fort Worth , Texas	Non-Profit	Senior Advisor	3/2024	12/2024	
4	Prospect House Media dba Ameritocracy	Long Beach, California	Corporation	Founder	1/2023	Present	
5	Revocable Family Trust #1	Los Alamitos, California	Trust	Trustee	10/2018	Present	
6	Casa Youth Shelter	Los Alamitos, California	Non-Profit	Board Member	6/2009	Present	

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Prospect House Media dba Ameritocracy (Podcasting), self-published	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	America First Policy Institute (501(c)(3) Non-Profit, Non Partisan Research Institute)	N/A		Consulting Fees	\$30,580
3	International Business Machines Corp. (IBM)	N/A		Salary/Bonus	\$485,000
4	Global Conductor, Inc. (Management Consulting)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,000,001 - \$5,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Global Conductor, Inc. (Management Consulting)	N/A		Bonus	\$285,000
6	Roth IRA	No			
6.1	Fidelity Blue Chip Growth Fund (FBGRX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.2	U.S. Brokerage Account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.3	Fidelity 500 Index Fund (FXAIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Boeing, defined benefit plan (value not readily ascertainable): eligible for \$200/mo. at age 62	N/A			None (or less than \$201)
8	City of Los Alamitos (CALPERS), defined benefit plan (value not readily ascertainable): eligible for \$150/mo. at age 65	N/A			None (or less than \$201)
9	International Business Machines Corp. (IBM)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
10	International Business Machines Corp. (IBM), unvested restricted stock units	N/A	\$250,001 - \$500,000		None (or less than \$201)
11	Global Conductor, Inc. (Management Consulting), promissory note	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
12	International Business Machines Corp. (IBM), anticipated bonus	N/A	\$100,001 - \$250,000		None (or less than \$201)
13	International Business Machines Corp. (IBM), vested stock options	N/A	\$15,001 - \$50,000		None (or less than \$201)
14	Global Conductor, Inc., 401(k) Plan:	No			
14.1	Fidelity Blue Chip Growth Fund (FBGRX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
14.2	Fidelity 500 Index Fund (FXAIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.3	Fidelity Total Market Index Fund (FSKAX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
14.4	Invesco Diversified Dividend Fund Class R5 Shares (DDFIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
14.5	Galliard Stable Return Fund M	Yes	\$50,001 - \$100,000		None (or less than \$201)
14.6	TCW MetWest Total Return Bond Fund Class M Shares (MWTRX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
14.7	PIMCO Income Fund Administrative Class Shares (PIINX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
15	International Business Machines Corp. (IBM), 401(K) Plan:	No			
15.1	Vanguard Target Retirement 2030 Fund Investor Class Shares (VTHR)	Yes	\$50,001 - \$100,000		None (or less than \$201)
15.2	Fidelity Blue Chip Growth Fund Class K Shares (FBGKX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
16	International Business Machines Corp. (IBM), Personal Pension Plan Retirement Benefit Account	No			
16.1	U.S. brokerage account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
17	International Business Machines Corp. (IBM), unvested stock options	N/A	\$50,001 - \$100,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	International Business Machines Corp. (IBM)	Washington, District of Columbia	I am eligible for a payment from the IBM Annual Incentive Program (AIP) for CY 2024 performance, payable in accordance with the terms and conditions of AIP in a lump sum in April 2025, less applicable withholding for taxes and any required payroll deductions.	1/2024
2	Global Conductor, Inc.	Irvine, California	As part of the sale agreement, a promissory note was executed that requires monthly payments through 2034.	12/2024
3	Global Conductor, Inc.	Irvine, California	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	1/2002
4	International Business Machines Corp. (IBM)	Armonk, New York	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my departure from the company.	6/2021
5	City of Los Alamitos (CALPERS)	Los Alamitos, California	I will continue to participate in this defined benefit plan.	12/2006
6	Boeing Co. (BA)	Arlington, Virginia	I will continue to participate in this defined benefit plan.	10/1997
7	International Business Machines Corp. (IBM)	Washington, District of Columbia	Pursuant to the company's compensation plan, my unvested restricted stock units were forfeit upon my resignation from the company.	6/2021
8	International Business Machines Corp. (IBM)	Washington, District of Columbia	I will forfeit my unvested stock options upon separation. I will retain my vested stock options. Upon confirmation, I will exercise my stock options and divest the resulting stock.	2/2022
9	Prospect House Media dba Ameritocracy	Long Beach, California	Prospect House Media dba Ameritocracy will be made inactive upon my confirmation and will remain inactive for the duration of my appointment in the Federal government.	7/2022

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	America First Policy Institute	Fort Worth , Texas	Services as Senior Advisor
2	International Business Machines (IBM)	Washington, District of Columbia	Services as Executive
3	Global Conductor, Inc.	Irvine, California	Executive Chairman

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Eyeglass Mount, Patent # 9329404 (value not readily ascertainable)	N/A			None (or less than \$201)
2	Garden Grove Unified School District	N/A		Salary	
3	Garden Grove Unified School District (CALSTRS), defined benefit plan, defined benefit plan (value not readily ascertainable)	N/A			None (or less than \$201)
4	Global Conductor, Inc. (Management Consulting)	N/A		Salary	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Residential Real Estate in Dallas, TX	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
2	Residential Property in Fountain Valley, CA	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Residential Property in Encino, CA	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
4	Residential Property in Norco, CA	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
5	Undeveloped Land in Lake Havasu, CA	N/A	\$100,001 - \$250,000		None (or less than \$201)
6	US Bank Account (1) Cash - Family Trust	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
7	US Bank Account (2) Cash - Family Trust	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
8	US Bank Account (3) Cash - Family Trust	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
9	US Bank Account (4) Cash - Family Trust	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
10	Fidelity Managed Account #1	No			
10.1	Fidelity Treasury Only Portfolio Class I Shares (FSIXX)	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
11	Managed Account #2	No			
11.1	Fidelity Government Cash Reserves (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.2	Accenture Plc (ACN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.3	Linde Plc (LIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.4	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.5	AbbVie, Inc. (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.6	Adobe, Inc. (ADBE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.7	Alphabet, Inc. (GOOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.8	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.9	Amgen Inc. (AMGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.10	Apple, Inc. (AAPL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
11.11	Bank of America Corp. (BAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.12	Berkshire Hathaway Inc. (BRKB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.13	Booking Holdings Inc. (BKNG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.14	Boston Scientific Corp. (BSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.15	Bristol-Myers Squibb (BMY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.16	Broadcom, Inc. (AVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.17	Caterpillar, Inc. (CAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.18	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.19	Cisco Systems, Inc. (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.20	Citigroup, Inc. (C)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.21	The Coca-Cola Co. (KO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.22	Costco Wholesale Corp. (COST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.23	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.24	Exxon Mobil Corp. (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.25	Meta Platforms Inc. Class A Common Stock (META)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.26	GE Aerospace Com New (GE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.27	Goldman Sachs Group Inc (GS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.28	The Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.29	Honeywell International, Inc. (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.30	International Business Machines Corp. (IBM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.31	Intuitive Surgical, Inc. (ISRG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.32	Intuit, Inc. (INTU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.33	JPMorgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.34	Eli Lilly & Co. (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.35	Lowe's Cos., Inc. (LOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.36	Mastercard, Inc. (MA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.37	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.38	Merck & Co., Inc. (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.39	Microsoft Corp. (MSFT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
11.40	Morgan Stanley (MS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.41	Netflix, Inc. (NFLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.42	NextEra Energy, Inc. (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.43	NVIDIA Corp. (NVDA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
11.44	Oracle Corp. (ORCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.45	Palantir Technologies Inc. Class A Common Stock (PLTR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.46	Pfizer Inc. (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.47	Philip Morris International, Inc. (PM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.48	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.49	QUALCOMM, Inc. (QCOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.50	Raytheon Technologies Corporation Common Stock (RTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.51	S&P Global, Inc. (SPGI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.52	Salesforce.com, inc. (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.53	ServiceNow, Inc. (NOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.54	The TJX Cos., Inc. (TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.55	Tesla, Inc. (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.56	Texas Instruments Incorporated (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.57	Union Pacific Corp. (UNP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.58	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.59	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.60	Visa, Inc. (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.61	Walmart, Inc. (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.62	Wells Fargo & Co. (WFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12	IRA - Beneficiary Distribution Account	No		cash distributions	\$3,200
12.1	Fidelity Investment Grade Bond Fund (FBNDX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	2	Began founding of Global Conductor, Inc. in January 2002. The Articles of Incorporation were approved March 2002. I returned to Global Conductor in January 2021 following my service in the first Trump Administration.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
